



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

CAROLYN C. RAMSEY-CATAN

US 010513

2/65 3/19/03  
PA  
#3

Serial No. 09/973,356

Group Art Unit: 2165

Filed: OCTOBER 9, 2001

Examiner:

TV SHOPPING MONITOR AND NOTIFICATION SYSTEM

Commissioner for Patents  
Washington, D.C. 20231

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LETTER

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR 1.56, Applicant calls to the attention of the Patent and Trademark Office a Search Report issued abroad in reference to a corresponding foreign application. A copy of the Search Report, dated January 2, 2003 is attached.

The enclosed document is being called to the attention of the Patent and Trademark Office solely to comply with the duty of disclosure set forth in 37 CFR 1.56 and is not intended to be construed as an admission by the Applicant that any of the documents listed is material.

Respectfully submitted,

Michael E. Marion, Reg. 32,266  
Attorney  
(914) 333-9642

CERTIFICATE OF MAILING

It is hereby certified that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

On 2-25-03

By

# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

# PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT  
OR THE DECLARATION

|  |
|--|
| To:<br>INTERNATIONAAL OCTROOIBUREAU B.V.<br>Attn. Groenendaal, Antonius.<br>Prof. Holstlaan 6<br>NL-5656 AA Eindhoven<br>NETHERLANDS |
|--|

(PCT Rule 44.1)

03 JAN 18 AM 10:18

|  |  |
|--|--|
| Applicant's or agent's file reference<br><b>PHUS010513WO</b> | Date of mailing (day/month/year) <b>02/01/2003</b><br><b>FOR FURTHER ACTION</b> See paragraphs 1 and 4 below |
| International application No.<br><b>PCT/IB 02/04128</b>      | International filing date (day/month/year) <b>07/10/2002</b>   |
| Applicant<br><b>KONINKLIJKE PHILIPS ELECTRONICS N.V.</b>     |  |

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1. ☒ The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.

**Filing of amendments and statement under Article 19:**

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the International Bureau of WIPO  
 34, chemin des Colombettes  
 1211 Geneva 20, Switzerland  
 Facsimile No.: (41-22) 740.14.35

**For more detailed instructions,** see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ **With regard to the protest** against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

Within **19 months** from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within **20 months** from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

|  |  |
|--|--|
|  | Name and mailing address of the International Searching Authority<br>European Patent Office, P.B. 5818 Patentlaan 2<br>NL-2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016 |
|--|--|

Authorized officer

Selwa Harris

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

|  |   |  |
|--|---|--|
| Applicant's or agent's file reference<br><b>PHUS010513WO</b> | <b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. |  |
| International application No.<br><b>PCT/IB 02/ 04128</b>     | International filing date (day/month/year)<br><b>07/10/2002</b>   | (Earliest) Priority Date (day/month/year)<br><b>09/10/2001</b> |
| Applicant<br><br><b>KONINKLIJKE PHILIPS ELECTRONICS N.V.</b> |   |  |

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

### 1. Basis of the report

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☒ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

1

☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No

/IB 02/04128

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 7 G07F19/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G07F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category * | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|------------|---|-----------------------|
| A          | US 6 044 360 A (PICCIALLO MICHAEL J)<br>28 March 2000 (2000-03-28)<br>column 9, line 15 -column 11, line 51;<br>figure 2  | 1,5                   |
| A          | EP 0 725 376 A (SONY CORP)<br>7 August 1996 (1996-08-07)<br>figures 1,4,5<br>column 3, line 15 - line 54<br>column 5, line 27 -column 7, line 8                       | 1,5                   |
| A          | US 5 953 710 A (FLEMING STEPHEN S)<br>14 September 1999 (1999-09-14)<br>figures 3,4<br>column 7, line 39 -column 9, line 10<br>column 15, line 30 -column 16, line 67 | 1,5                   |



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

20 December 2002

Date of mailing of the international search report

02/01/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Papastefanou, E

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

/IB 02/04128

| Patent document<br>cited in search report |   | Publication<br>date | Patent family<br>member(s)   | Publication<br>date                                  |
|---|---|---------------------|--|--|
| US 6044360                                | A | 28-03-2000          | BR 9809106 A<br>EP 0996921 A2<br>WO 9858345 A2<br>US 2001034703 A1 | 01-08-2000<br>03-05-2000<br>23-12-1998<br>25-10-2001 |
| EP 0725376                                | A | 07-08-1996          | JP 8214281 A<br>EP 0725376 A2<br>US 5845260 A                      | 20-08-1996<br>07-08-1996<br>01-12-1998               |
| US 5953710                                | A | 14-09-1999          | NONE   |  |